



PATENT
ATTORNEY DOCKET NO. STEM1170-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Upshall et al.
Serial No.: 09/961,227
Filed: September 20, 2001
Title: METHOD OF TREATMENT OF TUMORS USING TRANSFORMING
GROWTH FACTOR-ALPHA

Art Unit: 1615
Examiner To be assigned

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL SHEET

Sir:

Transmitted herewith for the above-identified application, please find:

- (1) An Information Disclosure Statement (2 pages);
- (2) A Form PTO-1449 (9 pages);
- (3) Ninety-three (93) References; and
- (4) Return postcard.

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CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, November 22, 2002 in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Jason Berry

Name of Person Mailing Paper

Signature

In the Application of
Upshall et al.

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This Information Disclosure Statement is being filed prior to receipt of a First Office Action on the merits. Therefore, no fee is deemed necessary. However, the Commissioner is hereby authorized to charge any amounts required by this filing, or credit any overpayment, to Deposit Account No. 50-1355.

Respectfully submitted,

Date: 11/22/02



Kelly K. Reynolds
Registration No. 51,154
Telephone: (858) 638-6724
Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP
4365 Executive Drive, Suite 1100
San Diego, California 92121-2133

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